

Schedule 43-9

DEPARTMENT OF ADMINISTRATIVE SERVICES EMPLOYEE RELATIONS DIVISION

July 20, 2006

Nebraska Records Management Division
440 South 8th Street, Suite 210
Lincoln, NE 68508
(402) 471-2559

**REQUEST FOR APPROVAL
OF RECORDS RETENTION
AND DISPOSITION SCHEDULE**

**TO: STATE RECORDS ADMINISTRATOR
STATE OF NEBRASKA**

SCHEDULE

43-9

AGENCY, BOARD OR COMMISSION

Department of Administrative Services

DIVISION, BUREAU OR OTHER UNIT

Employee Relations Division

Supersedes edition of August 25, 2000

PART I -- AGENCY STATEMENT

In accordance with Section 84-1212.01, R.R.S. 1943, approval of the attached records retention and disposition schedule by the State Records Administrator is hereby requested. Retention periods and dispositions have been recommended by this agency after a careful evaluation of all factors listed in Section 84-1212.01, R.R.S. 1943.

SIGNATURE

TITLE

Henry A. Jorgensen
Dept. of Administrative Services

DATE

7-7-06

PART II - ARCHIVAL APPROVAL

The attached schedule has been analyzed, all archival and historical material has been properly identified, no disposition except by transfer to the State Archives has been recommended for such material, and this schedule is approved as submitted.

SIGNATURE

DATE

STATE ARCHIVES

A.T.B.
7-18-06

PART III -- APPROVAL BY STATE RECORDS ADMINISTRATOR

The attached schedule has been reviewed in accordance with Section 84-1212.01, R.R.S. 1943, and is approved as submitted.

SIGNATURE

DATE

STATE RECORDS ADMINISTRATOR

John A. Hale
7/20/06

INSTRUCTIONS FOR USING THIS SCHEDULE

Records retention and disposition schedules are designed to serve as your records management guidelines for storing and disposing of agency records, ***regardless of the media on which they reside***, including paper, microfilm, diskettes, optical disks, CDs, DVDs, servers, computer hard drives, etc. This schedule was written specifically for records unique to your office and the State Agencies General Records Schedule #124 contains those records common to most state government agencies. These retention schedules, which are approved by the State Records Administrator, provide your only ongoing authority to dispose of records. Listed below are some basic procedures to follow when applying your schedule.

DISPOSING OF RECORDS

1. Check your schedules to see what the retention period is. Note: Your agency's unique schedule will take precedence over State Agencies General Records Schedule #124 for any items which have differences in retention requirements.
2. Dispose of records that have met their retention periods.
3. Complete a Records Disposition Report for the records you dispose. The Records Disposition Report form is the last page of this schedule. Remove the form, photocopy it, complete the form, make a photocopy for your records, and send the completed form to the Records Management Division at the address below. If you wish, you may receive this form electronically by contacting the Records Management Division. This report establishes that the destruction was performed in your normal course of business.

Please remember to retain the blank form for future use.

NON-SCHEDULED RECORDS

Contact a Records Management Consultant in Records Management to see whether the records will fit under an item already on the schedule. If they do not, they must be retained until they are added to the next revision of your schedule.

SCHEDULE UPDATE

It is the responsibility of each agency to periodically update their schedule. A Records Management Consultant in Records Management can assist you with the schedule update, which involves adding new records and making revisions to existing items. Keeping your schedule current will ensure that you have the ongoing authority to discard records when their useful life has ended.

QUESTIONS

If you have any questions about these procedures, please contact your agency Records Officer or your Records Management Consultant in Records Management. We will help you with any questions the schedule may present, including: transferring records to the State Records Center or State Archives, microfilming records, scanning records, etc.

**Records Management Division
440 South 8th Street, Suite 210
Lincoln, NE 68508-2294
402-471-2559**

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SCHEDULE 43-9 – DEPARTMENT OF ADMINISTRATIVE SERVICES EMPLOYEE RELATIONS DIVISION

43-9-1 APPEAL DOCKET

Tracking document of all third step appeals received in the Division. After final decision of Arbitrator/Personnel Board, file in binder.

Retain permanently.

43-9-2 GRIEVANCE FILE/APPEAL HEARING

Confidential file is maintained on employee grievances which result in a decision by the State Personnel Board. May include: Grievance Record, Appeal to the State Personnel Board, correspondence and related decisions by the Board or an Arbitrator.

Dispose of 5 years after Board's / Arbitrator's decision.

CASSETTE TAPE RECORDING: Dispose of after 4 years.

43-9-3 GRIEVANCE STATISTICS

Monthly reports created within the Division from third step grievances received in the office.

Dispose of when superseded, subject to review by the State Archives for possible accession.

43-9-4 LABOR CONTRACT INTERPRETATIONS

File of interpretations of various sections of the labor contract. Updated every two years after new labor contract is in effect and after the previous labor contract has expired.

Dispose of 6 years after revision.

43-9-5 LABOR CONTRACT/NEGOTIATIONS

Labor contracts with affected agencies, minutes of meetings and other substantiating material used during negotiations.

Transfer to the State Records Center 3 years after approval of contract; dispose of 5 years after approval of contract, subject to review by the State Archives for possible accession.

43-9-6 MINI-HEARING FILE/HEARING DECISIONS

Confidential file is maintained on Step 2.5 employee grievance appeals which result in a decision by the Labor Relations Administrator or his designee. May include narratives and related decisions by the Labor Relations Administrator or his designee.

Dispose of 2 years after date of mini-hearing decision.

43-9-7 UNION DUES DEDUCTION REPORTS

Monthly report created within the Division from NIS system statistics.

Dispose of after superseded.

RECORDS DISPOSITION REPORT

TO: SECRETARY OF STATE RECORDS MANAGEMENT DIVISION 440 S. 8TH STREET SUITE 210 LINCOLN, NE 68508-2294	AGENCY
	DIVISION
	SUB-DIVISION

REQUIRED INFORMATION:

In accordance with the Records Management Act, records of this agency have been disposed of under the authorization granted by the following schedule(s):

SCHEDULE NUMBER(S) ONLY (DO NOT INCLUDE SECTION AND ITEM NUMBERS)	TOTAL VOLUME DISPOSED (SEE REVERSE)

OPTIONAL INFORMATION (FOR YOUR USE ONLY):

You may include detailed information which will be useful to you in recording exactly what records were disposed of and under what authority. This might include such things as schedule section and item numbers, title of records, inclusive dates of records, etc. This information is not required to be filed with Records Management.

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DATE	SIGNATURE
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SEND ORIGINAL TO RECORDS MANAGEMENT. MAKE A PHOTOCOPY FOR YOUR RECORDS.

RMA 03006D

VOLUME ESTIMATING GUIDE

**(PLEASE NOTE THAT FOR REPORTING PURPOSES, A BALLPARK
ESTIMATE OF THE TOTAL VOLUME OF MATERIAL DISPOSED IS
ADEQUATE.)**

Vertical File Cabinet, 4 drawer letter-size	6 cubic feet
Vertical File Cabinet, 4 drawer legal-size.....	8 cubic feet
Lateral File, 4 drawer/shelf letter-size	9 cubic feet
Lateral File, 4 drawer/shelf legal-size.....	12 cubic feet
Records center carton.....	1 cubic foot
About a pickup load.....	50 cubic feet